

WERNER HEISENBERG

## The development of quantum mechanics

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Quantum mechanics, on which I am to speak here, arose, in its formal content, from the endeavour to expand Bohr's principle of correspondence to a complete mathematical scheme by refining his assertions. The physically new viewpoints that distinguish quantum mechanics from classical physics were prepared by the researches of various investigators engaged in analysing the difficulties posed in Bohr's theory of atomic structure and in the radiation theory of light.

In 1900, through studying the law of black-body radiation which he had discovered, Planck had detected in optical phenomena a discontinuous phenomenon totally unknown to classical physics which, a few years later, was most precisely expressed in Einstein's hypothesis of light quanta. The impossibility of harmonizing the Maxwellian theory with the pronouncedly visual concepts expressed in the hypothesis of light quanta subsequently compelled research workers to the conclusion that radiation phenomena can only be understood by largely renouncing their immediate visualization. The fact, already found by Planck and used by Einstein, Debye, and others, that the element of discontinuity detected in radiation phenomena also plays an important part in material processes, was expressed systematically in Bohr's basic postulates of the quantum theory which, together with the Bohr-Sommerfeld quantum conditions of atomic structure, led to a qualitative interpretation of the chemical and optical properties of atoms. The acceptance of these basic postulates of the quantum theory contrasted uncompromisingly with the application of classical mechanics to atomic systems, which, however, at least in its qualitative affirmations, appeared indispensable for understanding the properties of atoms. This circumstance was a fresh argument in support of the assumption that the natural phenomena in which Planck's constant plays an important part can be understood only by largely foregoing a visual description of them. Classical physics seemed the limiting case of visualization of a fundamentally unvisualizable microphysics, the more accurately realizable the more Planck's constant vanishes relative to the parameters of the system. This view of classical mechanics as a limiting case

of quantum mechanics also gave rise to Bohr's principle of correspondence which, at least in qualitative terms, transferred a number of conclusions formulated in classical mechanics to quantum mechanics. In connection with the principle of correspondence there was also discussion whether the quantum-mechanical laws could in principle be of a statistical nature; the possibility became particularly apparent in Einstein's derivation of Planck's law of radiation. Finally, the analysis of the relation between radiation theory and atomic theory by Bohr, Kramers, and Slater resulted in the following scientific situation:

According to the basic postulates of the quantum theory, an atomic system is capable of assuming discrete, stationary states, and therefore discrete energy values; in terms of the energy of the atom the emission and absorption of light by such a system occurs abruptly, in the form of impulses. On the other hand, the visualizable properties of the emitted radiation are described by a wave field, the frequency of which is associated with the difference in energy between the initial and final states of the atom by the relation

$$E_1 - E_2 = h \nu$$

To each stationary state of an atom corresponds a whole complex of parameters which specify the probability of transition from this state to another. There is no direct relation between the radiation classically emitted by an orbiting electron and those parameters defining the probability of emission; nevertheless Bohr's principle of correspondence enables a specific term of the Fourier expansion of the classical path to be assigned to each transition of the atom, and the probability for the particular transition follows qualitatively similar laws as the intensity of those Fourier components. Although therefore in the researches carried out by Rutherford, Bohr, Sommerfeld and others, the comparison of the atom with a planetary system of electrons leads to a qualitative interpretation of the optical and chemical properties of atoms, nevertheless the fundamental dissimilarity between the atomic spectrum and the classical spectrum of an electron system imposes the need to relinquish the concept of an electron path and to forego a visual description of the atom.

The experiments necessary to define the electron-path concept also furnish an important aid in revising it. The most obvious answer to the question how the orbit of an electron in its path within the atom could be observed

namely, will perhaps be to use a microscope of extreme resolving power. But since the specimen in this microscope would have to be illuminated with light having an extremely short wavelength, the first light quantum from the light source to reach the electron and pass into the observer's eye would eject the electron completely from its path in accordance with the laws of the Compton effect. Consequently only one point of the path would be observable experimentally at any one time.

In this situation, therefore, the obvious policy was to relinquish at first the concept of electron paths altogether, despite its substantiation by Wilson's experiments, and, as it were, to attempt subsequently how much of the electron-path concept can be carried over into quantum mechanics.

In the classical theory the specification of frequency, amplitude, and phase of all the light waves emitted by the atom would be fully equivalent to specifying its electron path. Since from the amplitude and phase of an emitted wave the coefficients of the appropriate term in the Fourier expansion of the electron path can be derived without ambiguity, the complete electron path therefore can be derived from a knowledge of all amplitudes and phases. Similarly, in quantum mechanics, too, the whole complex of amplitudes and phases of the radiation emitted by the atom can be regarded as a complete description of the atomic system, although its interpretation in the sense of an electron path inducing the radiation is impossible. In quantum mechanics, therefore, the place of the electron coordinates is taken by a complex of parameters corresponding to the Fourier coefficients of classical motion along a path. These, however, are no longer classified by the energy of state and the number of the corresponding harmonic vibration, but are in each case associated with two stationary states of the atom, and are a measure for the transition probability of the atom from one stationary state to another. A complex of coefficients of this type is comparable with a matrix such as occurs in linear algebra. In exactly the same way each parameter of classical mechanics, e.g. the momentum or the energy of the electrons, can then be assigned a corresponding matrix in quantum mechanics. To proceed from here beyond a mere description of the empirical state of affairs it was necessary to associate systematically the matrices assigned to the various parameters in the same way as the corresponding parameters in classical mechanics are associated by equations of motions. When, in the interest of achieving the closest possible correspondence between classical and quantum mechanics, the addition and multiplication of Fourier series were tentatively taken as the example for the addition and multiplication of the quantum-theory

complexes, the product of two parameters represented by matrices appeared to be most naturally represented by the product matrix in the sense of linear algebra - an assumption already suggested by the formalism of the Kramers-Ladenburg dispersion theory.

It thus seemed consistent simply to adopt in quantum mechanics the equations of motion of classical physics, regarding them as a relation between the matrices representing the classical variables. The Bohr-Sommerfeld quantum conditions could also be re-interpreted in a relation between the matrices, and together with the equations of motion they were sufficient to define all matrices and hence the experimentally observable properties of the atom.

Born, Jordan, and Dirac deserve the credit for expanding the mathematical scheme outlined above into a consistent and practically usable theory. These investigators observed in the first place that the quantum conditions can be written as commutation relations between the matrices representing the momenta and the coordinates of the electrons, to yield the equations ( $p_r$ , momentum matrices;  $q_r$ , coordinate matrices) :

$$p_r q_s - q_s p_r = \frac{h}{2\pi i} \delta_{rs} \quad q_r q_s - q_s q_r = 0 \quad p_r p_s - p_s p_r = 0$$

$$\delta_{rs} = \begin{cases} 1 & \text{for } r = s \\ 0 & \text{for } r \neq s \end{cases}$$

By means of these commutation relations they were able to detect in quantum mechanics as well the laws which were fundamental to classical mechanics: the invariability in time of energy, momentum, and angular momentum.

The mathematical scheme so derived thus ultimately bears an extensive formal similarity to that of the classical theory, from which it differs outwardly by the commutation relations which, moreover, enabled the equations of motion to be derived from the Hamiltonian function.

In the physical consequences, however, there are very profound differences between quantum mechanics and classical mechanics which impose the need for a thorough discussion of the physical interpretation of quantum mechanics. As hitherto defined, quantum mechanics enables the radiation emitted by the atom, the energy values of the stationary states, and other parameters characteristic for the stationary states to be treated. The theory hence complies with the experimental data contained in atomic spectra. In

all those cases, however, where a visual description is required of a transient event, e.g. when interpreting Wilson photographs, the formalism of the theory does not seem to allow an adequate representation of the experimental state of affairs. At this point Schrödinger's wave mechanics, meanwhile developed on the basis of de Broglie's theses, came to the assistance of quantum mechanics.

In the course of the studies which Mr. Schrödinger will report here himself he converted the determination of the energy values of an atom into an eigenvalue problem defined by a boundary-value problem in the coordinate space of the particular atomic system. After Schrödinger had shown the mathematical equivalence of wave mechanics, which he had discovered, with quantum mechanics, the fruitful combination of these two different areas of physical ideas resulted in an extraordinary broadening and enrichment of the formalism of the quantum theory. Firstly it was only wave mechanics which made possible the mathematical treatment of complex atomic systems, secondly analysis of the connection between the two theories led to what is known as the transformation theory developed by Dirac and Jordan. As it is impossible within the limits of the present lecture to give a detailed discussion of the mathematical structure of this theory, I should just like to point out its fundamental physical significance. Through the adoption of the physical principles of quantum mechanics into its expanded formalism, the transformation theory made it possible in completely general terms to calculate for atomic systems the probability for the occurrence of a particular, experimentally ascertainable, phenomenon under given experimental conditions. The hypothesis conjectured in the studies on the radiation theory and enunciated in precise terms in Born's collision theory, namely that the wave function governs the probability for the presence of a corpuscle, appeared to be a special case of a more general pattern of laws and to be a natural consequence of the fundamental assumptions of quantum mechanics. Schrödinger, and in later studies Jordan, Klein, and Wigner as well, had succeeded in developing as far as permitted by the principles of the quantum theory de Broglie's original concept of visualizable matter waves occurring in space and time, a concept formulated even before the development of quantum mechanics. But for that the connection between Schrödinger's concepts and de Broglie's original thesis would certainly have seemed a looser one by this statistical interpretation of wave mechanics and by the greater emphasis on the fact that Schrödinger's theory is concerned with waves in multidimensional space. Before proceeding to discuss the

explicit significance of quantum mechanics it is perhaps right for me to deal briefly with this question as to the existence of matter waves in three-dimensional space, since the solution to this problem was only achieved by combining wave and quantum mechanics.

A long time before quantum mechanics was developed Pauli had inferred from the laws in the Periodic System of the elements the well-known principle that a particular quantum state can at all times be occupied by only a single electron. It proved possible to transfer this principle to quantum mechanics on the basis of what at first sight seemed a surprising result: the entire complex of stationary states which an atomic system is capable of adopting breaks down into definite classes such that an atom in a state belonging to one class can never change into a state belonging to another class under the action of whatever perturbations. As finally clarified beyond question by the studies of Wigner and Hund, such a class of states is characterized by a definite symmetry characteristic of the Schrödinger eigenfunction with respect to the transposition of the coordinates of two electrons. Owing to the fundamental identity of electrons, any external perturbation of the atom remains unchanged when two electrons are exchanged and hence causes no transitions between states of various classes. The Pauli principle and the Fermi-Dirac statistics derived from it are equivalent with the assumption that only that class of stationary states is achieved in nature in which the eigenfunction changes its sign when two electrons are exchanged. According to Dirac, selecting the symmetrical system of terms would lead not to the Pauli principle, but to Bose-Einstein electron statistics.

Between the classes of stationary states belonging to the Pauli principle or to Bose-Einstein statistics, and de Broglie's concept of matter waves there is a peculiar relation. A spatial wave phenomenon can be treated according to the principles of the quantum theory by analysing it using the Fourier theorem and then applying to the individual Fourier component of the wave motion, as a system having one degree of freedom, the normal laws of quantum mechanics. Applying this procedure for treating wave phenomena by the quantum theory, a procedure that has also proved fruitful in Dirac's studies of the theory of radiation, to de Broglie's matter waves, exactly the same results are obtained as in treating a whole complex of material particles according to quantum mechanics and selecting the symmetrical system of terms. Jordan and Klein hold that the two methods are mathematically equivalent even if allowance is also made for the interaction of the electrons, i.e. if the field energy originating from the contin-

uous space charge is included in the calculation in de Broglie's wave theory. Schrödinger's considerations of the energy-momentum tensor assigned to the matter waves can then also be adopted in this theory as consistent components of the formalism. The studies of Jordan and Wigner show that modifying the commutation relations underlying this quantum theory of waves results in a formalism equivalent to that of quantum mechanics based on the assumption of Pauli's exclusion principle.

These studies have established that the comparison of an atom with a planetary system composed of nucleus and electrons is not the only visual picture of how we can imagine the atom. On the contrary, it is apparently no less correct to compare the atom with a charge cloud and use the correspondence to the formalism of the quantum theory borne by this concept to derive qualitative conclusions about the behaviour of the atom. However, it is the concern of wave mechanics to follow these consequences.

Reverting therefore to the formalism of quantum mechanics; its application to physical problems is justified partly by the original basic assumptions of the theory, partly by its expansion in the transformation theory on the basis of wave mechanics, and the question is now to expose the explicit significance of the theory by comparing it with classical physics.

In classical physics the aim of research was to investigate objective processes occurring in space and time, and to discover the laws governing their progress from the initial conditions. In classical physics a problem was considered solved when a particular phenomenon had been proved to occur objectively in space and time, and it had been shown to obey the general rules of classical physics as formulated by differential equations. The manner in which the knowledge of each process had been acquired, what observations may possibly have led to its experimental determination, was completely immaterial, and it was also immaterial for the consequences of the classical theory, which possible observations were to verify the predictions of the theory. In the quantum theory, however, the situation is completely different. The very fact that the formalism of quantum mechanics cannot be interpreted as visual description of a phenomenon occurring in space and time shows that quantum mechanics is in no way concerned with the objective determination of space-time phenomena. On the contrary, the formalism of quantum mechanics should be used in such a way that the probability for the outcome of a further experiment may be concluded from the determination of an experimental situation in an atomic system, providing that the system is subject to no perturbations other than those necessitated

by performing the two experiments. The fact that the only definite known result to be ascertained after the fullest possible experimental investigation of the system is the probability for a certain outcome of a second experiment shows, however, that each observation must entail a discontinuous change in the formalism describing the atomic process and therefore also a discontinuous change in the physical phenomenon itself. Whereas in the classical theory the kind of observation has no bearing on the event, in the quantum theory the disturbance associated with each observation of the atomic phenomenon has a decisive role. Since, furthermore, the result of an observation as a rule leads only to assertions about the probability of certain results of subsequent observations, the fundamentally unverifiable part of each perturbation must, as shown by Bohr, be decisive for the non-contradictory operation of quantum mechanics. This difference between classical and atomic physics is understandable, of course, since for heavy bodies such as the planets moving around the sun the pressure of the sunlight which is reflected at their surface and which is necessary for them to be observed is negligible; for the smallest building units of matter, however, owing to their low mass, every observation has a decisive effect on their physical behaviour.

The perturbation of the system to be observed caused by the observation is also an important factor in determining the limits within which a visual description of atomic phenomena is possible. If there were experiments which permitted accurate measurement of all the characteristics of an atomic system necessary to calculate classical motion, and which, for example, supplied accurate values for the location and velocity of each electron in the system at a particular time, the result of these experiments could not be utilized at all in the formalism, but rather it would directly contradict the formalism. Again, therefore, it is clearly that fundamentally unverifiable part of the perturbation of the system caused by the measurement itself which hampers accurate ascertainment of the classical characteristics and thus permits quantum mechanics to be applied. Closer examination of the formalism shows that between the accuracy with which the location of a particle can be ascertained and the accuracy with which its momentum can simultaneously be known, there is a relation according to which the product of the probable errors in the measurement of the location and momentum is invariably at least as large as Planck's constant divided by  $4\pi$ . In a very general form, therefore, we should have

$$\Delta p \Delta q \geq \frac{h}{4\pi}$$



where  $p$  and  $q$  are canonically conjugated variables. These uncertainty relations for the results of the measurement of classical variables form the necessary conditions for enabling the result of a measurement to be expressed in the formalism of the quantum theory. Bohr has shown in a series of examples how the perturbation necessarily associated with each observation indeed ensures that one cannot go below the limit set by the uncertainty relations. He contends that in the final analysis an uncertainty introduced by the concept of measurement itself is responsible for part of that perturbation remaining fundamentally unknown. The experimental determination of whatever space-time events invariably necessitates a fixed frame - say the system of coordinates in which the observer is at rest - to which all measurements are referred. The assumption that this frame is "fixed" implies neglecting its momentum from the outset, since "fixed" implies nothing other, of course, than that any transfer of momentum to it will evoke no perceptible effect. The fundamentally necessary uncertainty at this point is then transmitted via the measuring apparatus into the atomic event.

Since in connection with this situation it is tempting to consider the possibility of eliminating all uncertainties by amalgamating the object, the measuring apparatuses, and the observer into one quantum-mechanical system, it is important to emphasize that the act of measurement is necessarily visualizable, since, of course, physics is ultimately only concerned with the systematic description of space-time processes. The behaviour of the observer as well as his measuring apparatus must therefore be discussed according to the laws of classical physics, as otherwise there is no further physical problem whatsoever. Within the measuring apparatus, as emphasized by Bohr, all events in the sense of the classical theory will therefore be regarded as determined, this also being a necessary condition before one can, from a result of measurements, unequivocally conclude what has happened. In quantum theory, too, the scheme of classical physics which objectifies the results of observation by assuming in space and time processes obeying laws is thus carried through up to the point where the fundamental limits are imposed by the unvisualizable character of the atomic events symbolized by Planck's constant. A visual description for the atomic events is possible only within certain limits of accuracy - but within these limits the laws of classical physics also still apply. Owing to these limits of accuracy as defined by the uncertainty relations, moreover, a visual picture of the atom free from ambiguity has not been determined. On the contrary the corpuscular and the wave concepts are equally serviceable as a basis for visual interpretation.

The laws of quantum mechanics are basically statistical. Although the parameters of an atomic system are determined in their entirety by an experiment, the result of a future observation of the system is not generally accurately predictable. But at any later point of time there are observations which yield accurately predictable results. For the other observations only the probability for a particular outcome of the experiment can be given. The degree of certainty which still attaches to the laws of quantum mechanics is, for example, responsible for the fact that the principles of conservation for energy and momentum still hold as strictly as ever. They can be checked with any desired accuracy and will then be valid according to the accuracy with which they are checked. The statistical character of the laws of quantum mechanics, however, becomes apparent in that an accurate study of the energetic conditions renders it impossible to pursue at the same time a particular event in space and time.

For the clearest analysis of the conceptual principles of quantum mechanics we are indebted to Bohr who, in particular, applied the concept of complementarity to interpret the validity of the quantum-mechanical laws. The uncertainty relations alone afford an instance of how in quantum mechanics the exact knowledge of one variable can exclude the exact knowledge of another. This complementary relationship between different aspects of one and the same physical process is indeed characteristic for the whole structure of quantum mechanics. I had just mentioned that, for example, the determination of energetic relations excludes the detailed description of space-time processes. Similarly, the study of the chemical properties of a molecule is complementary to the study of the motions of the individual electrons in the molecule, or the observation of interference phenomena complementary to the observation of individual light quanta. Finally, the areas of validity of classical and quantum mechanics can be marked off one from the other as follows: Classical physics represents that striving to learn about Nature in which essentially we seek to draw conclusions about objective processes from observations and so ignore the consideration of the influences which every observation has on the object to be observed; classical physics, therefore, has its limits at the point from which the influence of the observation on the event can no longer be ignored. Conversely, quantum mechanics makes possible the treatment of atomic processes by partially foregoing their space-time description and objectification.

So as not to dwell on assertions in excessively abstract terms about the interpretation of quantum mechanics, I would like briefly to explain with

a well-known example how far it is possible through the atomic theory to achieve an understanding of the visual processes with which we are concerned in daily life. The interest of research workers has frequently been focused on the phenomenon of regularly shaped crystals suddenly forming from a liquid, e.g. a supersaturated salt solution. According to the atomic theory the forming force in this process is to a certain extent the symmetry characteristic of the solution to Schrödinger's wave equation, and to that extent crystallization is explained by the atomic theory. Nevertheless this process retains a statistical and - one might almost say - historical element which cannot be further reduced: even when the state of the liquid is completely known before crystallization, the shape of the crystal is not determined by the laws of quantum mechanics. The formation of regular shapes is just far more probable than that of a shapeless lump. But the ultimate shape owes its genesis partly to an element of chance which in principle cannot be analysed further.

Before closing this report on quantum mechanics, I may perhaps be allowed to discuss very briefly the hopes that may be attached to the further development of this branch of research. It would be superfluous to mention that the development must be continued, based equally on the studies of de Broglie, Schrödinger, Born, Jordan, and Dirac. Here the attention of the research workers is primarily directed to the problem of reconciling the claims of the special relativity theory with those of the quantum theory. The extraordinary advances made in this field by Dirac about which Mr. Dirac will speak here, meanwhile leave open the question whether it will be possible to satisfy the claims of the two theories without at the same time determining the Sommerfeld fine-structure constant. The attempts made hitherto to achieve a relativistic formulation of the quantum theory are all based on visual concepts so close to those of classical physics that it seems impossible to determine the fine-structure constant within this system of concepts. The expansion of the conceptual system under discussion here should, furthermore, be closely associated with the further development of the quantum theory of wave fields, and it appears to me as if this formalism, notwithstanding its thorough study by a number of workers (Dirac, Pauli, Jordan, Klein, Wigner, Fermi) has still not been completely exhausted. Important pointers for the further development of quantum mechanics also emerge from the experiments involving the structure of the atomic nuclei. From their analysis by means of the Gamow theory, it would appear that between the elementary particles of the atomic nucleus forces are at work which dif-

fer somewhat in type from the forces determining the structure of the atomic shell; Stern's experiments seem, furthermore, to indicate that the behaviour of the heavy elementary particles cannot be represented by the formalism of Dirac's theory of the electron. Future research will thus have to be prepared for surprises which may otherwise come both from the field of experience of nuclear physics as well as from that of cosmic radiation. But however the development proceeds in detail, the path so far traced by the quantum theory indicates that an understanding of those still unclarified features of atomic physics can only be acquired by foregoing visualization and objectification to an extent greater than that customary hitherto. We have probably no reason to regret this, because the thought of the great epistemological difficulties with which the visual atom concept of earlier physics had to contend gives us the hope that the abstracter atomic physics developing at present will one day fit more harmoniously into the great edifice of Science.